

**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
**As on financial year ended on 31.03.2021**

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:		
1	CIN	U67120GJ1997PLC032649
2	Registration Date	7/11/1997
3	Name of the Company	SHIVA INDUSTRIAL SECURITY AGENCY (GUJARAT) LIMITED
4	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES INDIAN NON GOVERNMENT COMPANY
5	Address of the Registered office & contact details	SAMEER COMPLEX, R S NO 71, NAVSARJAN SOCIETY, GIDC PANDESARA, SURAT-394 221
6	Whether listed company	N
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Karvy Computershare Private Limited  Karvy Selenium Tower B, Plot 31-32, Gachibowli Financial District, Nanakramguda, Hyderabad - 500 032

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY			
(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)			
S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Security and investigation activities	8020	48.00
2	Cash Management Services	6419	36.45
3	Toll Plaza Collection Services	8291	11.60

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					
SN	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
1	Not Applicable				
2	Not Applicable				
3	Not Applicable				

IV. SHARE HOLDING PATTERN									
(Equity share capital breakup as percentage of total equity)									
(i) Category-wise Share Holding									
Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian									
a) Individual/ HUF	5,196,700	0	5,196,700	70.17%	5,196,700	0	5,196,700	70.17%	0.00%
b) Central Govt			-	0.00%			-	0.00%	0.00%
c) State Govt(s)			-	0.00%			-	0.00%	0.00%
d) Bodies Corp.			-	0.00%			-	0.00%	0.00%
e) Banks / FI			-	0.00%			-	0.00%	0.00%
f) Any other			-	0.00%			-	0.00%	0.00%
<b>Sub Total (A) (1)</b>	5,196,700	-	5,196,700	70.17%	5,196,700	-	5,196,700	70.17%	0.00%
(2) Foreign									
a) NRI Individuals			-	0.00%			-	0.00%	0.00%
b) Other Individuals			-	0.00%			-	0.00%	0.00%
c) Bodies Corp.			-	0.00%			-	0.00%	0.00%
d) Any other			-	0.00%			-	0.00%	0.00%
<b>Sub Total (A) (2)</b>	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>TOTAL (A)</b>	5,196,700	-	5,196,700	70.17%	5,196,700	-	5,196,700	70.17%	0.00%

<b>B. Public Shareholding</b>									
<b>1. Institutions</b>									
a) Mutual Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Banks / FI	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Central Govt	-	-	-	0.00%	-	-	-	0.00%	0.00%
d) State Govt(s)	-	-	-	0.00%	-	-	-	0.00%	0.00%
e) Venture Capital Funds	2,191,805	-	2,191,805	29.60%	2,191,805	-	2,191,805	29.60%	0.00%
f) Insurance Companies	-	-	-	0.00%	-	-	-	0.00%	0.00%
g) FIs	-	-	-	0.00%	-	-	-	0.00%	0.00%
h) Foreign Venture Capital Funds	-	-	-	0.00%	-	-	-	0.00%	0.00%
i) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(1):-</b>	<b>2,191,805</b>	<b>-</b>	<b>2,191,805</b>	<b>29.60%</b>	<b>2,191,805</b>	<b>-</b>	<b>2,191,805</b>	<b>29.60%</b>	<b>0.00%</b>
<b>2. Non-Institutions</b>									
a) Bodies Corp.									
i) Indian	-	-	-	0.00%	-	-	-	0.00%	4.78%
ii) Overseas	-	-	-	0.00%	-	-	-	0.00%	0.00%
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	17300	0	17,300	0.23%	17300	0	17,300	0.23%	0.00%
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	0.00%	-	-	-	0.00%	0.00%
c) Others (specify)	-	-	-	0.00%	-	-	-	0.00%	0.00%
Non Resident Indians	-	-	-	0.00%	-	-	-	0.00%	0.00%
Overseas Corporate Bodies	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Nationals	-	-	-	0.00%	-	-	-	0.00%	0.00%
Clearing Members	-	-	-	0.00%	-	-	-	0.00%	0.00%
Trusts	-	-	-	0.00%	-	-	-	0.00%	0.00%
Foreign Bodies - D R	-	-	-	0.00%	-	-	-	0.00%	0.00%
<b>Sub-total (B)(2):-</b>	<b>17,300</b>	<b>-</b>	<b>17,300</b>	<b>0.23%</b>	<b>17,300</b>	<b>-</b>	<b>17,300</b>	<b>0.23%</b>	<b>4.78%</b>
<b>Total Public (B)</b>	<b>2,209,105</b>	<b>-</b>	<b>2,209,105</b>	<b>29.83%</b>	<b>2,209,105</b>	<b>-</b>	<b>2,209,105</b>	<b>29.83%</b>	<b>4.78%</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>0.00%</b>	<b>0.00%</b>
<b>Grand Total (A+B+C)</b>	<b>7,405,805</b>	<b>-</b>	<b>7,405,805</b>	<b>100.00%</b>	<b>7,405,805</b>	<b>-</b>	<b>7,405,805</b>	<b>100.00%</b>	<b>4.78%</b>

**(ii) Shareholding of Promoter**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Sushil Kumar Sharma	511,390	6.91%	0	2,222,000	30.00%	0	23.10%
2	Anubha Sharma	1,870,990	25.26%	0	1,851,250	25.00%	0	-0.27%
3	Sameer Sharma	2,590,225	34.98%	0	901,000	12.17%	0	-22.81%
4	Sameer Sharma Joint with Anubha Sharma	223,765	3.02%	0	222,120	3.00%	0	-0.02%
	<b>TOTAL</b>	<b>5,196,370</b>	<b>70.17%</b>	<b>0</b>	<b>5,196,370</b>	<b>70.17%</b>	<b>0</b>	<b>0.00%</b>

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	sushil sharma						
	<b>At the beginning of the year</b>			<b>511,390</b>	<b>6.91%</b>	<b>511,390</b>	<b>6.91%</b>
	Changes during the year	18.02.2021	Transfer	1,710,610	23.10%		23.10%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>2,222,000</b>	<b>30.01%</b>
2	Anubha Sharma						
	<b>At the beginning of the year</b>			<b>1,870,990</b>	<b>25.26%</b>	<b>1,870,990</b>	<b>25.26%</b>
	Changes during the year	18.02.2021	Transfer	(19,740)	-0.27%		-0.27%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>1,851,250</b>	<b>25.00%</b>
3	Sameer Sharma						
	<b>At the beginning of the year</b>			<b>2,590,225</b>	<b>49.85%</b>	<b>2,590,225</b>	<b>34.98%</b>
	Changes during the year	18.02.2021	Transfer	(1,689,225)	-22.81%		-22.81%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>901,000</b>	<b>12.17%</b>
4	Sameer Sharma Joint with						
	<b>At the beginning of the year</b>			<b>223,765</b>	<b>3.02%</b>	<b>223,765</b>	<b>3.02%</b>
	Changes during the year	18.02.2021	Transfer	(1,645)	-0.02%		-0.02%
			Bonus	-	0.00%		
	<b>At the end of the year</b>					<b>222,120</b>	<b>3.00%</b>

**(iv) Shareholding Pattern of top ten Shareholders***(Other than Directors, Promoters and Holders of GDRs and ADRs):*

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Canbank Venture Capital Fund						
	At the beginning of the year			<b>2,191,805</b>	<b>29.60%</b>	<b>2,191,805</b>	<b>29.60%</b>
	Changes during the year		Allot	2,191,805	29.60%	2,191,805	29.60%
			Bonus	2,191,805	29.60%	2,191,805	29.60%
	At the end of the year					<b>2,191,805</b>	<b>29.60%</b>
2	SHABBIR ABDEALI BENGALI						
	At the beginning of the year			-	<b>0.00%</b>	-	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	<b>0.00%</b>	300	0.00%
			Bonus	-	0.00%	300	0.00%
	At the end of the year					<b>300</b>	<b>0.00%</b>
3	CHINMAY N CHHATIAWALA						
	At the beginning of the year			-	<b>0.00%</b>	-	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	300	0.00%
			Bonus	-	0.00%	300	0.00%
	At the end of the year					<b>300</b>	<b>0.00%</b>
4	NEHA AGARWAL						
	At the beginning of the year			-	<b>0.00%</b>	-	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	300	0.00%
			Bonus	-	0.00%	300	0.00%
	At the end of the year					<b>300</b>	<b>0.00%</b>
5	SACHIN MOTIRAM NAIK						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

<b>6</b>	<b>UMESH BATUKBHAI</b>						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

<b>7</b>	<b>MISHRA ADITNARAYAN</b>						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

<b>8</b>	<b>DINESH KUMAR</b>						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

<b>9</b>	<b>ASHISH KUMAR</b>						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

<b>10</b>	<b>NARENDRA DEVIDAS</b>						
	At the beginning of the year			<b>300</b>	<b>0.00%</b>	<b>300</b>	<b>0.00%</b>
	Changes during the year	9/27/2018	Transfer	300	0.00%	600	0.01%
			Bonus	-	0.00%	600	0.01%
	At the end of the year					<b>600</b>	<b>0.01%</b>

**(v) Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	sushil sharma						
	<b>At the beginning of the year</b>			<b>511,390</b>	<b>6.91%</b>	<b>511,390</b>	<b>6.91%</b>
	Changes during the year	18.02.2021	Transfer	1,710,610	23.10%		23.10%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>2,222,000</b>	<b>30.01%</b>
2	Anubha Sharma						
	<b>At the beginning of the year</b>			<b>1,870,990</b>	<b>25.26%</b>	<b>1,870,990</b>	<b>25.26%</b>
	Changes during the year	18.02.2021	Transfer	(19,740)	-0.27%		-0.27%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>1,851,250</b>	<b>25.00%</b>
3	0						
	<b>At the beginning of the year</b>			<b>2,590,225</b>	<b>49.85%</b>	<b>2,590,225</b>	<b>34.98%</b>
	Changes during the year	18.02.2021	Transfer	(1,689,225)	-22.81%		-22.81%
			Bonus	-	0.00%		0.00%
	<b>At the end of the year</b>					<b>901,000</b>	<b>12.17%</b>
4	Anoop Anand Malhotra						
	<b>At the beginning of the year</b>			-	0.00%	-	0.00%
	Changes during the year						
	<b>At the end of the year</b>					-	0.00%
4	Naresh Kumar Seth						
	<b>At the beginning of the year</b>			-	0.00%	-	0.00%
	Changes during the year						
	<b>At the end of the year</b>					-	0.00%
5	Sanjay Kumar						
	<b>At the beginning of the year</b>			-	0.00%	-	0.00%
	Changes during the year	9/27/2018	Transfer	300	0.01%	300	100.00%
			Bonus	-	0.00%	-	0.00%
	<b>At the end of the year</b>					<b>300</b>	<b>0.00%</b>

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. RUPEES)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	366,458,521.00	37,686,390.00	-	404,144,911.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>366,458,521.00</b>	<b>37,686,390.00</b>	<b>-</b>	<b>404,144,911.00</b>
<b>Change in Indebtedness during the financial year</b>				
* Addition	28,833,882.00	(7,159,261.00)	-	21,674,621.00
* Reduction	-	-	-	-
<b>Net Change</b>	<b>28,833,882.00</b>	<b>(7,159,261.00)</b>	<b>-</b>	<b>21,674,621.00</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	395,292,403.00	30,527,129.00	-	425,819,532.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
<b>Total (i+ii+iii)</b>	<b>395,292,403.00</b>	<b>30,527,129.00</b>	<b>-</b>	<b>425,819,532.00</b>

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL**

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

SN.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
		Name	Sameer Shamra	(Rs/Lac)
		Designation	MANAGING DIRECTOR	
1	Gross salary		780000	7.80
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961			-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961			-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961			-
2	Stock Option			-
3	Sweat Equity			-
4	Commission			-
	- as % of profit			-
	- others, specify			-
5	Others, please specify			-
	Total (A)		780,000.00	- 7.80
	Ceiling as per the Act		NA	NA

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Directors			Total Amount
					(Rs/Lac)
1	Independent Directors				
	Fee for attending board committee meetings	-	-	-	-
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (1)	-	-	-	-
2	Other Non-Executive Directors				
	Fee for attending board committee meetings	-	-	-	-
	Commission	-	-	-	-
	Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration				-
	Overall Ceiling as per the Act	NA	NA		NA

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel			Total Amount (Rs/Lac)
		Name			
	Designation	CEO	CFO	CS	
1	Gross salary		720000	396000	11.16
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961				-
	(b) Value of perquisites u/s 17(2) Income-tax				-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961				-
2	Stock Option				-
3	Sweat Equity				-
4	Commission				
	- as % of profit - others, specify				- -
5	Others, please specify				-
	Total	-	720,000.00	396,000.00	11.16

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment					
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment					
Compounding					
<b>C. OTHER OFFICERS IN DEFAULT</b>					
Penalty					
Punishment					
Compounding					

Not Applicable